

## **Part II**

### **Schedule of Expenditures of Federal Awards**

**Harvard University**  
**Schedule of Expenditures of Federal Awards**  
**For the Year Ended June 30, 2001**

CFDA Federal Agency Code	DHHS		NSF	Defense	AID	Energy	NASA	Education	NEH	Justice	EPA	HUD	State	Others	Total
	NIH	Other													
	93		47	12	02	81	43	84	06	16	66	14	19		
Major Programs:															
Research and Development and Research Training:															
Direct awards	\$ 225,207,669	\$ 14,742,717	\$ 23,726,221	\$ 9,884,738	\$ 6,312,880	\$ 5,628,093	\$ 7,615,245	\$ 2,813,605	\$ 347,389	\$ 3,540,697	\$ 3,531,395	\$ 1,842,332	\$ 1,057,060	\$ 1,758,911	\$ 308,008,952
Subagreements	15,670,975	3,514,254	1,398,046	2,955,478	1,891,664	2,588,585	1,675,692	681,202	-	3,606	864,046	96	-	731,520	31,975,164
Total Research and Development and Research Training	<u>240,878,644</u>	<u>18,256,971</u>	<u>25,124,267</u>	<u>12,840,216</u>	<u>8,204,544</u>	<u>8,216,678</u>	<u>9,290,937</u>	<u>3,494,807</u>	<u>347,389</u>	<u>3,544,303</u>	<u>4,395,441</u>	<u>1,842,428</u>	<u>1,057,060</u>	<u>2,490,431</u>	<u>339,984,116</u>
Student Financial Assistance															
Direct awards	-	133,442	3,687,170	-	-	-	-	8,153,182	-	-	-	-	-	14,870	11,988,664
Subagreements	-	-	-	-	-	-	-	-	-	-	-	-	13,445	-	13,445
Total Student Financial Assistance	<u>-</u>	<u>133,442</u>	<u>3,687,170</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>8,153,182</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>13,445</u>	<u>14,870</u>	<u>12,002,109</u>
Total Major Programs	<u>240,878,644</u>	<u>18,390,413</u>	<u>28,811,437</u>	<u>12,840,216</u>	<u>8,204,544</u>	<u>8,216,678</u>	<u>9,290,937</u>	<u>11,647,989</u>	<u>347,389</u>	<u>3,544,303</u>	<u>4,395,441</u>	<u>1,842,428</u>	<u>1,070,505</u>	<u>2,505,301</u>	<u>351,986,225</u>
Nonmajor Programs:															
Direct awards	683,079	449,121	1,938,352	539,365	-	102,314	-	1,672,296	633,510	-	-	-	-	179,944	6,197,981
Subagreements	4,737	403,171	1,024,212	235,848	285,767	109,226	47,722	347,196	-	-	2,219	-	99,612	43,369	2,603,079
Total Nonmajor Programs	<u>687,816</u>	<u>852,292</u>	<u>2,962,564</u>	<u>775,213</u>	<u>285,767</u>	<u>211,540</u>	<u>47,722</u>	<u>2,019,492</u>	<u>633,510</u>	<u>-</u>	<u>2,219</u>	<u>-</u>	<u>99,612</u>	<u>223,313</u>	<u>8,801,060</u>
Total	<u>\$ 241,566,460</u>	<u>\$ 19,242,705</u>	<u>\$ 31,774,001</u>	<u>\$ 13,615,429</u>	<u>\$ 8,490,311</u>	<u>\$ 8,428,218</u>	<u>\$ 9,338,659</u>	<u>\$ 13,667,481</u>	<u>\$ 980,899</u>	<u>\$ 3,544,303</u>	<u>\$ 4,397,660</u>	<u>\$ 1,842,428</u>	<u>\$ 1,170,117</u>	<u>\$ 2,728,614</u>	<u>\$ 360,787,285</u>

The accompanying notes are an integral part of this schedule of expenditures of federal awards.

# Harvard University

## Notes to Schedule of Expenditures of Federal Awards

### For the Year Ended June 30, 2001

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#### 1. Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards (the "Schedule") summarizes the expenditures of Harvard University (the "University") under programs of the federal government for the year ended June 30, 2001. The information in this schedule is presented in accordance with the requirements of Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements of the University.

For purposes of the Schedule, Federal awards include all grants, contracts and similar agreements entered into directly by the University with agencies and departments of the federal government and all subawards to the University by nonfederal organizations pursuant to federal grants, contracts and similar agreements. The awards are classified into major program and nonmajor program categories in accordance with the provisions of OMB Circular A-133. The major programs are:

**Research and Development and Research Training** - Includes awards for research and development work at the University's Medical School and School of Public Health and for work at the University's academic divisions, primarily under grants with agencies and divisions of the Department of Health and Human Services. This program also includes awards to support research training for graduate and other students, primarily under programs of the National Institutes of Health.

**Student Financial Assistance** - Includes certain awards to provide financial assistance to students, primarily under the Federal Work-Study (FWS), Pell Grant (Pell) and Supplemental Educational Opportunity Grant (SEOG) and similar programs of the Department of Education (see Note 8). The University receives awards to make loans to eligible students under certain federal student loan programs and federally guaranteed loans are issued to students of the University. These loans are considered for purposes of determining whether student financial aid is a Type A or Type B program. These loans are included in Note 9 to this schedule.

Nonmajor programs include certain awards for other sponsored activities (i.e., other than instruction and organized research) at the University's Medical School and School of Public Health and for work at the University's academic divisions, primarily under grants from the Department of Education and the National Science Foundation. Examples of such programs include conferences and symposia, library collections and preservations, health service projects, and community service programs.

#### 2. Summary of Significant Accounting Policies for Federal Award Expenditures

Expenditures reported in the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost accounting principles contained in OMB Circular A-21, *Cost Principles for Educational Institutions*, wherein certain types of expenditures are not allowable or are limited to reimbursement. Moreover, expenditures include a portion of costs associated with general university activities which are allocated to awards under negotiated formulas commonly referred to as facilities and administrative cost rates.

**Harvard University**  
**Notes to Schedule of Expenditures of Federal Awards**  
**For the Year Ended June 30, 2001**

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**3. Summary of Sponsoring Agencies**

The full names of the sponsoring agencies included in the Schedule and notes to the Schedule are as follows:

DHHS	- Department of Health and Human Services
NIH	- National Institutes of Health
NSF	- National Science Foundation
Defense	- Department of Defense, including Defense agencies
AID	- United States Agency for International Development
Energy	- Department of Energy
NASA	- National Aeronautics and Space Administration
Education	- Department of Education
NEH	- National Endowment for the Humanities
Justice	- Department of Justice
EPA	- Environmental Protection Agency
HUD	- Housing and Urban Development
State	- Department of State

For purposes of the Schedule, agencies which provided less than \$700,000 of federal awards expended by the University have been combined as "Other." These agencies include the Departments of Commerce, Labor, Transportation, Agriculture and Veteran Affairs; the Central Intelligence Agency; the National Endowment for the Arts; the United States Institute of Peace; the U.S. Geological Survey; the Japan - U.S. Friendship Commission; the National Oceanic and Atmospheric Administration; the National Park Service; the Institute of Museum and Library Services; the National Institute of Standards and Technology; the National Security Agency; the General Services Administration; the U.S. Information Agency; and the Internal Revenue Service.

**4. Summary of Facilities and Administrative Costs**

Facilities and administrative cost recoveries for the year ended June 30, 2001 are as follows:

Research and development and research training	\$ 97,413,986
Other	\$ <u>1,005,738</u>
	\$ <u>98,419,724</u>

The University recovers facilities and administrative costs associated with sponsored agreements pursuant to arrangements negotiated with federal cognizant agencies by the Medical School, School of Public Health, and the University Area. Predetermined facilities and administrative rates have been established for the Medical School, the University Area, and the School of Public Health through fiscal year 2002. The Radcliffe Institute for Advanced Study has a separate facilities and administrative rate established prior to the merger of Radcliffe College and the University.

Facilities and administrative cost recoveries associated with training programs generally represent the maximum administrative overhead allowance allowed by the sponsors. The University also recovers administrative cost allowances from certain campus-based student financial assistance programs.

**Harvard University**  
**Notes to Schedule of Expenditures of Federal Awards**  
**For the Year Ended June 30, 2001**

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**5. Expenditures under Awards from the Department of Health and Human Services**

In addition to NIH, federal awards from DHHS include awards from various centers, divisions and institutes of DHHS. Federal award expenditures by funding source within DHHS for the year ended June 30, 2001 are summarized as follows:

Public Health Service Agency for Healthcare Research and Quality (AHRQ)	\$ 6,490,168
Center for Disease Control and Prevention (CDC)	6,162,274
Health Resources and Services Administration (HRSA)	2,329,683
Office of the Assistant Secretary for Health (OASH)	217,877
Other Public Health Service Sources	3,271,337
Substance Abuse and Mental Health Services Administration (SAMHSA)	<u>771,366</u>
	<u>\$19,242,705</u>

**6. Awards to Subrecipients**

Certain federal funds are provided to subrecipient organizations by the University. The following expenditures incurred by these subrecipients are reimbursed by the University and included on the Schedule as a part of major program direct awards for the year ended June 30, 2001.

Agency	CFDA#	Amount
NIH	93	\$ 27,602,299
Other DHHS	93	2,561,149
AID	2	1,300,852
Defense	12	2,394,740
NSF	47	1,063,914
Education	84	2,036,687
NASA	43	596,768
HUD	14	642,946
EPA	66	628,116
Energy	81	949,663
Other		<u>372,271</u>
Total		<u>\$ 40,149,405</u>

**7. Pass-Through Awards/Subrecipients**

In addition to direct awards, the University receives awards via pass-through entities. The total amount expended by the University and reimbursed by pass-through entities for the year ended June 30, 2001 was \$34,591,688. See Appendix A for a listing of subagreements, sorted by federal sponsor agency and pass-through entity.

**Harvard University**  
**Notes to Schedule of Expenditures of Federal Awards**  
**For the Year Ended June 30, 2001**

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**8. Expenditures under Awards from Student Financial Assistance Programs**

For the year ended June 30, 2001, federal student financial assistance program expenditures, exclusive of loan programs (see Note 9) are summarized as follows:

**Department of Education**

Pell	\$ 1,327,424
Federal Supplemental Educational Opportunity Grant (SEOG)	2,108,735
Federal Work Study (FWS)	3,030,807
2000 Jacob K. Javits Fellowship Program	780,327
Foreign Language and Area Studies Fellowships	574,136
Fullbright – Hays Doctoral Dissertation Research Abroad	23,892
Graduate Fellowship	162,350
National Resource Centers and Foreign Language Area Studies	140,752
Robert Byrd Scholarship Program*	<u>1,415,625</u>
Total	<u>\$ 9,564,048</u>

**Department of Health and Human Services**

Public Health Traineeship	\$ 62,593
Scholarship for Disadvantaged Students	<u>70,849</u>
Total	<u>\$ 133,442</u>

**National Science Foundation**

Graduate Research Fellowship Program	<u>\$ 3,687,170</u>
<b>Other</b>	<u>\$ 28,315</u>

\*Robert Byrd scholarships are not included in the schedule of expenditures, but are federal funds awarded directly to students.

**9. Federal Student Loan Programs**

The Perkins, Health Professions Student Loan Program (HPSL), Loans for Disadvantaged Students (LDS), and certain FFEL Stafford and SLS/PLUS loans are administered directly by the University and the balances and transactions relating to these programs are included in the University's general purpose financial statements. The balances of these loans outstanding at June 30, 2001 consist of:

Perkins	\$ 55,091,743
FFEL (includes FISL, PLUS, and SLS)	\$ 52,624,127
HPSL/LDS/PCL	\$ 5,851,843

**Harvard University**  
**Notes to Schedule of Expenditures of Federal Awards**  
**For the Year Ended June 30, 2001**

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Loans made by the University to eligible students under federal student loan programs and federally guaranteed loans issued to students during the year ended June 30, 2001 and included in the University's balance sheet are summarized as follows:

Direct Subsidized Stafford	\$ 34,578,805
Direct Unsubsidized Stafford	36,364,794
Direct PLUS	3,920,807
Perkins	9,362,268
HPSL/PCL	300,175
LDS	-
Federal Family Education Loan Programs (FFELP) interest subsidy, only	879,155
FFELP Stafford issued by banks	470,009
FFELP Unsubsidized Stafford issued by banks	<u>543,131</u>
	<u>\$ 86,419,144</u>

The University is not a lender under the Health Education Assistance Loan program (HEAL) and is responsible only for the performance of certain administrative duties with respect to HEAL. Accordingly, these loans are not included in the University's general purpose financial statements and it is not practical to determine the balance of loans outstanding to students and former students of the University under these programs at June 30, 2001. Nonetheless, students of the University may participate in this loan program by borrowing from participating banks.

**10. Contingencies**

In July 1999, the University commenced a comprehensive investigation of certain grants funded by the National Institute on Aging. The University reported its initial findings of the investigation to the National Institutes of Health. The University is in discussions with the government about the situation, and the ultimate outcome cannot be determined at this time.

In April 1996, the Harvard Institute for International Development (HIID) learned of an investigation by the Inspector General's Office of the U.S. Agency for International Development (USAID) into the activities of two individuals engaged by HIID. USAID had awarded amounts to HIID under two cooperative agreements to provide assistance to Russia in implementing economic and legal reforms. The U.S. Attorney's Office also conducted a grand jury investigation into certain activities related to the Moscow project, although it declined to bring criminal indictments. In September 2000, the United States filed an eleven-count complaint against the University and others seeking recovery damages of the full amount of the awards (\$40 million), together with other remedies available under the False Claims Act. Since then, certain counts have been dismissed. Discovery is ongoing for the remaining counts, including those under the False Claims Act.

While it is not possible to predict accurately or determine the eventual outcome of the above described legal matters or various other legal proceedings involving the University, management believes that the outcome of these proceedings will not have a material adverse effect on the University's financial position.

**Harvard University**  
**Appendix A, Notes to Schedule of Expenditures of Federal Awards**  
for the year ended June 30, 2001

<u>Federal Sponsor Agency</u>	<u>Award ID No.</u>	<u>Pass-Through Entity</u>	<u>FY 2001 Expenses</u>
Administration for Children and Families	DHHS 105-95-1936	Mathematica Policy Research, Inc.	35,958
		<i>Total for Administration for Children and Families</i>	\$ 35,958
Agency for International Development (USAID)	HRN-C-00-95-00024-05	Abt Associates, Inc.	263,425
	HNE-Q-00-94-00076	Academy for Educational Development	9,643
	AOT-0546-A-00-5073-00	Associates for International Resources and Development	(11,265)
	No Award ID	Bank Rakyat Indonesia	140,601
	HRN-A-00-96-90010-00	Boston University	226,387
	HRN-1-00-99-00011-00	Camp Dresser & McKee, Inc.	12,639
	DHR-0039-C-00-5034-00	Chemonics	(7,549)
	612-0237-C-00-6041-00	Creative Associates International, Inc.	13,672
	492-C-00-00018-00	Development Alternatives, Inc.	27,930
	PCE-0406-C-00-6004-000	Development Alternatives, Inc.	(2,395)
	CCP-C-00-95-0023-04	Futures Group International, Inc.	54
	492-C-0097-00063	Hagler Bailly Services, Inc.	7,076
	PCE-I-00-96-00002-00	International Resources Group, Inc.	487,117
	No Award ID	John Snow Incorporated	1,825
	No Award ID	John Snow Incorporated	11,392
	No Award ID	Management Sciences for Health	13,859
	AID PCE-C-00-95-00036-00	Management Systems International	144,053
	621-C-00-98-00106	Mitchell Group (TMG), Inc.	84,730
	HRN-A-00-96-90007-00	Program for Appropriate Technology in Health	33,540
	No Award ID	Program for Appropriate Technology in Health	161,140
	EEU-1-00-99-00014-00	Research Triangle Institute	264,472
	PCE-I-00-97-00042-00	University Research Corporation International/IRIS	(1,636)
	690-A-00-98-00124-00	University of Montana	74,237
	LAG-4213-A-00-6016-00	University of Wisconsin	66,176
	EPE-C-00-95-00110-00	Urban Institute	978
	EUR-0034-C-00-5110-00	Urban Institute	(1,457)
	HNE-5848-C-00-6047-00	World Education, Inc.	154,919
	DHR-5554-A-00-8044-00	World Wildlife Fund - US	1,869
		<i>Total for Agency for International Development (USAID)</i>	\$ 2,177,431
CDC - Public Health Service	200-97-0645	Advanced Computer Resources Corporation	(357)
	No Award ID	Association of Schools of Public Health, Inc.	88,164
	U36/CCU300430	Association of Schools of Public Health, Inc.	353,043
	U36/CCU300430-19	Association of Schools of Public Health, Inc.	114,742
	No Award ID	Commonwealth of Massachusetts	111,779
	U64/CCU119525-01	Massachusetts General Hospital	48,434
	U60/CCU014539-03	University of Washington	4,524
	U57/CCU115130-01	World Education, Inc.	(28)
		<i>Total for CDC - Public Health Service</i>	\$ 720,300
CDC/NCCDPHP-Public Health Service	U36 CCU300430-20	Association of Schools of Public Health, Inc	38,365
		<i>Total for CDC/NCCDPHP - Public Health Service</i>	\$ 38,365
Defense Advanced Research Projects Agency - DARPA	MDA972-00-1-0021	Cornell University	10,105
	No Award Nbr	Jet Propulsion Laboratory	154,707
	J276, G006	Space and Naval Warfare Systems Command	480,082
	MDA904-97-C-0225	Stanford University	(3,038)
		<i>Total for Defense Advanced Research Projects Agency - DARPA</i>	\$ 641,856
Defense Threat Reduction Agency (DTRA)	DTRA01-99-C-0025	Science Applications International Corporator	101,831
		<i>Total for Defense Threat Reduction Agency (DTRA)</i>	\$ 101,831
Department of Agriculture	No Award ID	Missouri Department of Health	98
	43-3AEM-8-80038	University of Chicago	15,175
		<i>Total for Department of Agriculture</i>	\$ 15,274
Department of Commerce	NA86RG0074	Massachusetts Institute of Technology	28,552
	No Award ID	National Congress of American Indians	(793)
	No Award ID	University of Alaska Fairbanks	7,234
		<i>Total for Department of Commerce</i>	\$ 34,993
Department of Defense	No Award ID	Southeastern Center for Electrical Engineering Education	26,583
	No Award ID	Southeastern Center for Electrical Engineering Educator	234,384
		<i>Total for Department of Defense</i>	\$ 260,967
Department of Education	No Award ID	Boston Public Schools	1,542
	P334A990466	Boston Public Schools	212,116
	ED-98-CO-0071	Center for Applied Linguistics	89,516
	No Award ID	Center for Applied Special Technology	33,597
	ED-99-CO-0094	Education Development Center, Inc.	122,546
	S 184 U 00010	National Academy of Sciences	45,043

	R306F60077	Regents of the University of California - Santa Cruz	10,991
	EA96009001	Research Triangle Institute	54,976
	R3086A60003	University of Pennsylvania	315,928
	R3086A60003-01A	University of Pennsylvania	142,143
		<i>Total for Department of Education</i>	<u>1,028,398</u>
Department of Energy	O-KC75-00055-00	Argonne National Laboratory	11,856
	W-31-109-Eng-38	Argonne National Laboratory	(1,046)
	DE-FC26-0NT40771	CONSOL Energy, Inc	88,975
	DE-AC02-76CH03000	Fermi National Accelerator Laboratory	646,338
	W-7405-ENG-48	Lawrence Livermore Laboratory	43,534
	W-7405-ENG-36	Los Alamos National Laboratory	44,021
	C95-175002	Massachusetts Institute of Technology	(1,272)
	DE-A07-991013727	Massachusetts Institute of Technology	75,060
	DE-AC36-99-GO10337	National Renewable Energy Laboratory	38,579
	DE-AC36-99GO10337	National Renewable Energy Laboratory	152,003
	No Award ID	Oak Ridge Institute For Science And Education	34,375
	ENG-9812731	Rand Corporation	109,226
	DE-FC03-90ER61010	Regents of the University of California - Davis	1,378,469
	DE-FG02-98ER62702	Resources for the Future, Inc.	26,413
	No Award ID	Sandia National Laboratories	40,432
		<i>Total for Department of Energy</i>	<u>2,686,963</u>
Department of Health & Human Services	282-98-0029	American Institutes for Research	70,948
	90-YD-0017/01	Education Development Center, Inc.	15,198
	R01 RR13213-04	Massachusetts General Hospital	111,097
		<i>Total for Department of Health &amp; Human Services</i>	<u>197,243</u>
DHHS/Office of Acquisition Management	HHS-100-00-0025	ROW Sciences	107,929
		<i>Total for DHHS/Office of Acquisition Management</i>	<u>107,929</u>
Department of Housing and Urban Development	C-OPC-21356	Westat Corporation	96
		<i>Total for Department of Housing and Urban Development</i>	<u>96</u>
Department of Justice	2000-DT-CX-K001	Dartmouth College	3,606
		<i>Total for Department of Justice</i>	<u>3,606</u>
Department of State	AEMA-0018	Institute of International Education, Inc.	43,952
	AEMA-1018	Institute of International Education, Inc.	55,660
	S-OPRAQ-99-H-0036	Open Society Institute	13,445
		<i>Total for Department of State</i>	<u>113,057</u>
Department of Transportation	1700.18B	Massachusetts Institute of Technology	35,000
	DTRS99-G-0001	Massachusetts Institute of Technology	68,952
	No Award ID	Massachusetts Institute of Technology	48,068
		<i>Total for Department of Transportation</i>	<u>152,020</u>
Department of Veterans Affairs	V101(93)P-1637 TO 10	National Academy of Sciences	58,576
		<i>Total for Department of Veterans Affairs</i>	<u>58,576</u>
Department of the Air Force	F49620-99-1-0272	Brown University	143,431
	F49620-97-1-0382	Dartmouth College	59,135
	F33615-99-1-1499	New York University	108,193
	F49620-96-1-0478	Regents of the University of California - Berkeley	119,622
	F49620-97-1-0247	Regents of the University of California - Santa Barbara	174
	F30602-99-C-0187	SRI International	49,694
		<i>Total for Department of the Air Force</i>	<u>480,249</u>
Department of the Army	DAAG55-98-1-0468	Academy of Applied Science, Inc.	35,805
	DAAH55-98-1-0468	Academy of Applied Science, Inc.	(29)
	DAAH04-96-1-0445	Brown University	155,290
	DAAG55-98-1-0266	California Institute of Technology	147,032
	DAAG55-98-1-0491	Desert Research Institute	21,331
	DACA87-00-H-0019	Desert Research Institute	159,864
	DAMD17-00-2-0052	Desert Research Institute	53,661
	BC961685	Hoechst-ARIAD Genomics Center, LLC	4,647
	No Award ID	Massachusetts General Hospital	3,044
	DAMD17-99-2-9001	Massachusetts General Hospital	46,722
	DAAL03-92-G-0115	Massachusetts Institute of Technology	(4,935)
	DAAG55-98-1-0270	Princeton University	217,522
	DAAH04-95-1-0102	Princeton University	1,285
	DAAD13-00-C-5003	Radiation Monitoring Devices, Inc.	23,310
	DAAD19-99-1-0158	Regents of the University of California - Santa Barbara	128,540
	DAAD-19-99-1-0215	University of Rochester	275,428
	DAMD17-97-1-7081	University of Southern California	532
	DAAD 19-00-1-0169	Yale University	198,599
		<i>Total for Department of the Army</i>	<u>1,467,646</u>
Department of the Navy	N00014-98-C-0220	Immersion Human Interface Corporation	(4,661)
	N00014-97-11-1066	Massachusetts Institute of Technology	36,590

	N00014-00-1-0438	Princeton University	47,587
	N0001496-1-1215	Regents of the University of California - Santa Barbara	96,882
	N00014-98-1-0669	Stanford University	62,379
		<i>Total for Department of the Navy</i>	<u>\$ 238,777</u>
Environmental Protection Agency	68-D-99-011	Battelle Memorial Institute	74,958
	826780-01-0	Brigham & Women's Hospital	37,144
	11008	Environmental Health & Engineering	2,047
	68D20134	Environmental Science and Engineering, Inc.	(10,800)
	R 824835	Health Effects Institute	117,662
	R-824835	Health Effects Institute	148,670
	R824835	Health Effects Institute	7,188
	No Award ID	IT Corporation	4,051
	No Award ID	Mickey Leland National Urban Air Toxics Research Center	194,532
	R826373	Pennsylvania State University	27,194
	R826373-01	Pennsylvania State University	36,884
	R827058-01-0	Suffolk County Conservation District	79,263
	CR827232-01-0	Tufts University School of Medicine	6,756
	CR827033	Univ of Med+Dentistry of NJ-RWJ Med. School	2,219
	R-82805801	Washington University	138,496
		<i>Total for Environmental Protection Agency</i>	<u>\$ 866,264</u>
Food & Drug Administration DHHS	P50 DA10223	Brandeis University	11,059
	FD-U-001641-02	Harvard Pilgrim Health Care, Inc	34,896
		<i>Total for Food &amp; Drug Administration DHHS</i>	<u>\$ 45,956</u>
HRSA - Public Health Service	No Award ID	Association of Schools of Public Health, Inc.	7,543
	U76 AH10002-04	Association of Schools of Public Health, Inc.	100
	1 D20 HP00003-01	Boston University	45,214
	HRSA-240-93-0050	Mathematica Policy Research, Inc.	(4,869)
		<i>Total for HRSA - Public Health Service</i>	<u>\$ 47,988</u>
HRSA Maternal & Child Health Bureau - Public Health Service	1 R40 MC00117	American Academy of Pediatrics	16,980
	5 H16 MC00050	Harvard Pilgrim Health Care, Inc	29,704
		<i>Total for HRSA Maternal &amp; Child Health Bureau - Public Health Service</i>	<u>\$ 46,684</u>
Health Care Financing Administration	500-95-0057	Barents Group LLC	20,115
	500-95-0057	Picker Institute	547,714
	500-95-0056	Rand Corporation	1,164,798
		<i>Total for Health Care Financing Administration</i>	<u>\$ 1,732,627</u>
Internal Revenue Service	TIRNO-98-D-00012	Science Applications International Corporator	(12,243)
		<i>Total for Internal Revenue Service</i>	<u>\$ (12,243)</u>
Jet Propulsion Laboratory	1223696	Cornell University	7,200
		<i>Total for Jet Propulsion Laboratory</i>	<u>\$ 7,200</u>
NASA Headquarters	10167	Jet Propulsion Laboratory	24,989
	NAS7-918	Jet Propulsion Laboratory	9,792
	No Award ID	National Institute of Standards and Technology	36,511
	NCC9-58	National Space Biomedical Rsch Inst/Baylor Coll of Med	620,374
	NCC9-58	National Space Biomedical Rsch Inst/Morehouse School of Med	(22)
	No Award ID	Space Telescope Science Institute	40,687
		<i>Total for NASA Headquarters</i>	<u>\$ 732,331</u>
NASA - Ames Research Center	NCC 2-1169	American Museum of Natural History	47,722
	NAG2-1361	Regents of the University of California - Berkeley	61,855
		<i>Total for NASA - Ames Research Center</i>	<u>\$ 109,577</u>
NASA - Goddard Space Flight Center	NAG5-4839	Children's Hospital Corporation	31,920
	NAG5-9605	Duke University	55,593
	NAGW5-3665	Duke University	(1,666)
	NAG5-6656	Pennsylvania State University	90,750
	NAG5-7547	Pennsylvania State University	52,892
	NAS5-26555	Space Telescope Science Institute	326,965
	NAG5-9752	University of Massachusetts - Dartmouth	87,281
		<i>Total for NASA - Goddard Space Flight Center</i>	<u>\$ 643,735</u>
NASA - Marshall	NAS8-39073	Smithsonian Astrophysical Observatory	230,571
		<i>Total for NASA - Marshall</i>	<u>\$ 230,571</u>
NIH - Public Health Service	No Award ID	Brigham & Women's Hospital	3,389
		<i>Total for NIH - Public Health Service</i>	<u>\$ 3,389</u>
NIH/FIC - Public Health Service	D43 TW00018-12	Weill Medical College of Cornell University	67,543
		<i>Total for NIH/FIC - Public Health Service</i>	<u>\$ 67,543</u>
NIH/NCCAM - Public Health Service	R01 AT00402	Beth Israel Deaconess Medical Center	70,740
		<i>Total for NIH/NCCAM - Public Health Service</i>	<u>\$ 70,740</u>

NIH/NCI - Public Health Service	CA62462-04	American College of Radiology	(39)
	R01 CA67264	Board of Regents of the University of Wisconsin - Madison	128,582
	R01 CA70818	Boston Medical Center	57,196
	R01 CA84506	Boston Medical Center	53,162
	1 R01 CA82838-02	Brigham & Women's Hospital	110,643
	1 R01 CA90792-01	Brigham & Women's Hospital	8,846
	2 R01 CA50597-10	Brigham & Women's Hospital	79,310
	5 R01 CA49449-11	Brigham & Women's Hospital	246,908
	5 R01 CA65725-05	Brigham & Women's Hospital	52,547
	5 R01 CA70817-04	Brigham & Women's Hospital	264
	R01 CA42182	Brigham & Women's Hospital	141,356
	R01 CA58684	Brigham & Women's Hospital	171,773
	U01 CA49449-09	Brigham & Women's Hospital	(7,241)
	5 R01 CA28735-17	Children's Hospital Corporation	43,557
	1 R01 CA84384-01	Dana Farber Cancer Institute	77,079
	1 R01 CA91753-01	Dana Farber Cancer Institute	12,064
	2 P30 CA06516-37	Dana Farber Cancer Institute	962,781
	5 P01 CA50661-13	Dana Farber Cancer Institute	240,732
	5 R01 CA72663-03	Dana Farber Cancer Institute	(8,719)
	P01 CA50661	Dana Farber Cancer Institute	332,281
	P50 CA89393	Dana Farber Cancer Institute	130,325
	R01 CA68087	Dana Farber Cancer Institute	(29)
	R01 CA72663	Dana Farber Cancer Institute	(24,878)
	5 R01 CA72570-04	Harvard Pilgrim Health Care, Inc	12,728
	2 P01 CA69246-05	Massachusetts General Hospital	152,880
	5 R01 CA83960-02	Massachusetts General Hospital	37,993
	5 P50 CA84719-02	Miriam Hospital	115,816
	NO1-CN-65107	Northern California Cancer Center	9,193
	R01 CA52689-09	Regents of the University of California - San Francisco	75,232
	R01 CA59706	Regents of the University of California - San Francisco	213
	5 R01 CA79593-02	Regents of the University of Minnesota	59,715
	R01 CA75450	University of North Carolina - Chapel Hill	(746)
	1 P01 CA80111-01	Whitehead Institute for Biomedical Research	(433)
	5 P01 CA80111-03	Whitehead Institute for Biomedical Research	194,709
		<i>Total for NIH/NCI - Public Health Service</i>	<u>\$ 3,465,802</u>
NIH/NCRR - Public Health Service	5 R01 RR13601-04	Beth Israel Deaconess Medical Center	132,316
	R01 RR13150	Beth Israel Deaconess Medical Center	154,005
	5 R21 RR14465-02	Brigham & Women's Hospital	65,724
	R01 RR14447	Dana Farber Cancer Institute	197,101
	7 R01 RR13601-02	Oklahoma State University	(72)
	R01 RR13156-04	Thomas Jefferson University	81,179
	R01 RR13537	Tufts University	137,708
	R01 RR13843	Tufts University	215,374
		<i>Total for NIH/NCRR - Public Health Service</i>	<u>\$ 983,334</u>
NIH/NHGRI - Public Health Service	2-R01-HG01257-04	Health Research, Inc.	7,294
	P01 AG/HD11952	Rand Corporation	13,895
		<i>Total for NIH/NHGRI - Public Health Service</i>	<u>\$ 21,190</u>
NIH/NHLBI - Public Health Service	1 R01 HL63737-02	Beth Israel Deaconess Medical Center	25,109
	5 R01 HL61940-04	Beth Israel Deaconess Medical Center	25,839
	P01 HL43510	Beth Israel Deaconess Medical Center	178
	P60 HL15157-29	Boston Medical Center	199,688
	1 R01 HL64075-02	Brigham & Women's Hospital	80,560
	1 R01 HL66386-01	Brigham & Women's Hospital	8,042
	2 P50 HL52320	Brigham & Women's Hospital	352,632
	5 P50 HL52320	Brigham & Women's Hospital	321
	5 P50 HL56383-06	Brigham & Women's Hospital	212,861
	5 R01 HL54098-03	Brigham & Women's Hospital	9,083
	5 R01 HL56371-04	Brigham & Women's Hospital	381,443
	5 R01 HL63927-02	Brigham & Women's Hospital	39,890
	P50 HL56383	Brigham & Women's Hospital	72,487
	5 P50 HL56398-05	Children's Hospital Corporation	20,662
	R01 HL41786	Children's Hospital Corporation	78,140
	1 U01 HL66678-01	Massachusetts General Hospital	149,198
	R01 HL55718	Massachusetts General Hospital	339
	P01 HL42443	New England Medical Center Hospitals, Inc.	(317)
	5 P01 HL59139-03	Pennsylvania State University	23,929
	U01 HL55981	Regents of the University of California - San Francisco	41,798
	R01 HL55162	Regents of the University of Michigan	(67)
	HL34174	Stanford University	(486)
	1 R01 HL65405-01	Vanderbilt University	158,623
	U01 HL58946	Washington University	22,097
		<i>Total for NIH/NHLBI - Public Health Service</i>	<u>\$ 1,902,049</u>
NIH/NIA - Public Health Service	R01 AI48489	Board of Regents of the University of Wisconsin - Madison	99,079
	5 P01 AG09975-08	Brigham & Women's Hospital	139,847
	P01 AG14366	Brigham & Women's Hospital	112,873
	R01 AG15478	Massachusetts General Hospital	35,070

	U01 AG14282-05	New England Research Institutes, Inc.	9,988
	AG17058-01	Regents of the University of California - Los Angeles	27,459
	5 P01 AG04390-17	University of Pittsburgh	21,674
		<i>Total for NIH/NIA - Public Health Service</i>	<u>59,121</u>
			\$ 445,990
NIH/NIAID - Public Health Service	N01-AI35176	Abt Associates, Inc.	3,656
	1 R01 AI42006-01	Boston Medical Center	53,966
	R21 AI42006	Boston Medical Center	(74,598)
	5 U01 AI39769-05	Boston University	36,288
	AI 34856	Brigham & Women's Hospital	2,023
	R01 AI35786	Brigham & Women's Hospital	78,629
	1 R21 AI47479-01	Center for Blood Research Incorporated	87,287
	P01 AI42257	Center for Blood Research Incorporated	486,074
	5 P01 AI31541-10	Children's Hospital Corporation	237,743
	5 R01 AI41365-04	Children's Hospital Corporation	369,215
	P01 AI39619	Children's Hospital Corporation	93,025
	P01 AI43649	Children's Hospital Corporation	173
	U19 AI31541	Children's Hospital Corporation	(22,350)
	R01 AI42402	Connecticut Children's Medical Center	147,285
	1 P01 AI45757	Dana Farber Cancer Institute	189,532
	P01 AI37833	Dana Farber Cancer Institute	(76,509)
	P01 AI43649	Dana Farber Cancer Institute	68,515
	5 U01 AI46749-02	Family Health International	15,718
	1 U01 AI46703-01	Fred Hutchinson Cancer Research Center	39,916
	5 R01 AI42006-05	Massachusetts General Hospital	79,424
	P01 AI39755	Massachusetts General Hospital	86,095
	1 U19 AI45955-01	Michigan State University	64,203
	7 U01 AI039776-03	RHO Federal Systems Division, Inc.	204,542
	P01 AI45992-02	Regents of the University of California - San Diego	231,173
	U01 AI38858	Social & Scientific Systems, Inc.	521,576
	1 P01 AI48244-01	Thomas Jefferson University	30,641
	5 R21 AI46253-02	Thomas Jefferson University	63,087
	5 R01 AI42390-03	University of Arkansas	234,468
	1 P30 AI42845-01	University of Massachusetts - Worcester	39,048
	5 P30 AI42845-03	University of Massachusetts - Worcester	22,075
	5 R21 AI44338-02	University of Massachusetts - Worcester	3,648
	R01 AI39400	University of Massachusetts - Worcester	138
	5 U19 AI31448-10	University of Washington	85,433
	R01 AI41440	Yale University	8,471
		<i>NIH/NIAID - Public Health Service</i>	<u>3,409,611</u>
			\$ 3,409,611
NIH/NIAMS - Public Health Service	R01 AR40321	Beth Israel Deaconess Medical Center	(18)
	5 P60 AR44811	University of Pittsburgh	38,729
		<i>Total for NIH/NIAMS - Public Health Service</i>	<u>38,711</u>
			\$ 38,711
NIH/NICHHD - Public Health Service	1 R01 HD38561-01 A1	Beth Israel Deaconess Medical Center	30,762
	1 R41 HD35769-01	Cell & Molecular Technologies Inc.	(46,211)
	R42 HD35769	Cell & Molecular Technologies Inc.	203,739
	1 P01 HD 39530-01	Center for Applied Linguistics	52,085
	1 P01 HD39530-01	Center for Applied Linguistics	13,953
	5 P01 HD24926-12	Dana Farber Cancer Institute	188,354
	R01 HD36093	Johns Hopkins University	219,668
	K12 HD00850-15	Yale University	972
	N01-HC-55148	Yale University	284,373
		<i>Total for NIH/NICHHD - Public Health Service</i>	<u>947,695</u>
			\$ 947,695
NIH/NIDA - Public Health Service	1 R01 DA11541-01A1	Georgia Institute of Technology	101,727
	N01 DA78081	Organix, Inc.	18,270
	R01 DA11542	Organix, Inc.	22,076
	R01 DA12189	Research Foundation of SUNY (State University of New York)	772
		<i>NIH/NIDA - Public Health Service</i>	<u>142,846</u>
			\$ 142,846
NIH/NIDCD - Public Health Service	R01 DC03425	Board of Regents of University of Arizona	27,414
	R01 DC03604	University of Cincinnati	87,507
	P01 DC000347	University of Maryland, Baltimore	(627)
		<i>Total for NIH/NIDCD - Public Health Service</i>	<u>114,293</u>
			\$ 114,293
NIH/NIDCR - Public Health Service	5 R01 DE11939-04	Boston University	63,886
	5 P30 DE11814-03	Forsyth Dental Center	(4,299)
	5 P30 DE11814-04	Forsyth Dental Center	10,704
	R01 DE11646	New England Medical Center Hospitals, Inc	20,436
		<i>NIH/NIDCR - Public Health Service</i>	<u>90,727</u>
			\$ 90,727
NIH/NIDDK - Public Health Service	P01 DK55495	Albert Einstein College of Medicine	108,146
	R43 DK56581	Biostream, Inc.	24,070
	5 P30 DK46200-09	Boston Medical Center	15,175
	P30 DK46200	Boston Medical Center	71,880
	2 P30 DK34854-17	Children's Hospital Corporation	56,699
	5 P30 DK34854-17	Children's Hospital Corporation	43,854
	R01 DK55086	Children's Hospital Corporation	51,657
	P30 DK40561	Massachusetts General Hospital	4,523

	P30 DK46200	New England Medical Center Hospitals, Inc.	112
	R01 DK53512	University of Florida	(86)
	5 P01 DK53369-04	University of Washington	53,977
	R24 DK56954	Washington University	331,167
		<i>Total for NIH/NIDDK - Public Health Service</i>	<u>\$ 761,176</u>
NIH/NIEHS - Public Health Service	R01 HS09099	Beth Israel Deaconess Medical Center	16
	1 R01 ES10932-01	Brigham & Women's Hospital	16,097
	5 R01 ES05257-09	Brigham & Women's Hospital	163,913
	R01 ES05257	Brigham & Women's Hospital	(28)
	R01 ES07821	Brigham & Women's Hospital	33,218
	ES10165-01	Columbia University	20,415
	No Award ID	Courtesy Associates	4,737
	R01 HS08395	Georgetown University	(432)
	R01 ES07198	Johns Hopkins University	35,423
	2 R44 ES09038-03	OSI Pharmaceuticals	71,631
	5 P30 ESO5707	Regents of the University of California - Davis	35,569
	ES06198	Regents of the University of California - Davis	78,615
	ES06516	Regents of the University of California - Davis	(54,704)
	5 R01 ES06717-06	Regents of the University of California - San Francisco	83,706
	5 U01 ES09720-02	University of Cincinnati	216,121
		<i>Total for NIH/NIEHS - Public Health Service</i>	<u>\$ 704,299</u>
NIH/NIGMS - Public Health Service	5 R01 GM31318-40	Boston University	41,448
	5 P50 GM52585-07	Brigham & Women's Hospital	318,263
	5 P01 GM58448-03	Massachusetts General Hospital	269,008
	5 R01 GM53452-05	Regents of the University of California - San Francisco	909
	P01 GM54160	Yale University	163,402
		<i>NIH/NIGMS - Public Health Service</i>	<u>\$ 793,030</u>
NIH/NIMH - Public Health Service	5 R01 MH510428-05	Board of Regents of University of Arizona	10,594
	1 R01 MH59254-01A1	Boston University	81,606
	R24 MH57933	Boston University	24,013
	R01 MH57735	Brown University	6,723
	P50 MH43703	Johns Hopkins University	9,514
	NO1MH80001	Massachusetts General Hospital	75,848
	1-R01-MH60651-01A1	Massachusetts Institute of Technology	31,198
	1R01 MH58240-02	McLean Hospital	27,090
	P01 MH31154	McLean Hospital	69,854
	5 R01 MH59575-04	Regents of the University of Michigan	58,624
	5 P50 MH48408-10	Stanford University	62,556
	R01 MH057139-02	University of Massachusetts - Worcester	9,732
		<i>Total for NIH/NIMH - Public Health Service</i>	<u>\$ 467,351</u>
NIH/NINDS - Public Health Service	5 P01 NS40043-02	Beth Israel Deaconess Medical Center	258,788
	P01 NS40043	Beth Israel Deaconess Medical Center	160,568
	R01 NS34626-06	Massachusetts General Hospital	67,039
	R01 NS36524	New England Medical Center Hospitals, Inc.	39,232
	NS35138-13	University of Pennsylvania	233,610
	P01 NS35138-13	University of Pennsylvania	269,961
	R01 NS32228	Washington University	216,738
		<i>NIH/NINDS - Public Health Service</i>	<u>\$ 1,245,935</u>
National Endowment for the Arts	DCA 97-16 (CFDA 45026)	Council of Chief State School Officers	52,083
		<i>Total for National Endowment for the Arts</i>	<u>\$ 52,083</u>
National Renewable Energy Laboratory	AAD-9-18668-17	Regents of the University of California - Santa Barbara	10,848
		<i>Total for National Renewable Energy Laboratory</i>	<u>\$ 10,848</u>
National Science Foundation	IIS-9988575	Boston University	34,345
	AST-9318785	Brown University	(9)
	SBR-9513040	Carnegie Mellon University	25,954
	SBR-9521914	Carnegie Mellon University	50,274
	PHY 97-22537	Columbia University	1,024,212
	EAR-9529992	Incorporated Research Institutions for Seismology (IRIS)	53,036
	EEC-9731748	Johns Hopkins University	71,552
	DEB-9708092	Marine Biological Laboratory	68,785
	DMR-9808941	Massachusetts Institute of Technology	15,599
	PHY-0071311	Massachusetts Institute of Technology	174,205
	PHY-9722639	Massachusetts Institute of Technology	28,093
	PHY-9808941	Massachusetts Institute of Technology	35,078
	EEC-9986821	Northeastern University	43,764
	AST-9802568	Ohio State University Research Foundation	1,750
	DMS-9615854	Regents of the University of California - Los Angeles	1,280
	SES-9B18897	Regents of the University of New Mexico	8,698
	OCE-0084032	Rutgers University	10,300
	DBI-98346603	Tufts University School of Medicine	28,667
	ACI-9619019	University of Illinois at Urbana - Champaign	185,693
	AST-98-3137	University of Illinois at Urbana - Champaign	155,108
	IIS-9811129	University of North Carolina - Chapel Hill	77,194
	SBR-9873477	University of Rochester	58,136

	EAR-89-20136	University of Southern California	65,531
	EAR-8920136	University of Southern California	77,865
	DMR-0080630	University of Texas - Austin	38,937
	EAR-9909657	Woods Hole Oceanographic Institution	10,702
	CCR-9980058	Yale University	77,507
		<i>National Science Foundation</i>	<u>\$ 2,422,258</u>
Public Health Service - AHRQ	5 R01 HS10131-02	Beth Israel Deaconess Medical Center	24,235
	1U18 HS/CA1039-01	Harvard Pilgrim Health Care, Inc	14,861
	5 R01 HS10060-02	Harvard Pilgrim Health Care, Inc	63,808
	R01 HS10063	Harvard Pilgrim Health Care, Inc	81,523
	R01 HS10247	Harvard Pilgrim Health Care, Inc	51,348
	1 U18 HS11073-01	Minneapolis Medical Research Foundation	24,676
	R01 HS10227	Rand Corporation	43,938
	U01 HS 08578	Rand Corporation	(1,014)
	290-95-2005	Westat Corporation	16,267
		<i>Public Health Service - AHRQ</i>	<u>\$ 319,644</u>
Public Health Service - SAMHSA/CMHS	280-99-4005	Abt Associates, Inc.	17,689
	270-96-002	Brandeis University	38,163
	UD1 SM52229-02	Brigham & Women's Hospital	20,032
	UDI SM52229	Brigham & Women's Hospital	93,219
	No Award ID	Commonwealth of Massachusetts/Department of Public Health	294,531
	283-98-9008	Research Triangle Institute	147,606
		<i>Public Health Service - SAMHSA/CMHS</i>	<u>\$ 611,240</u>
Public Health Service - SAMHSA/CSAT	UD1 T111414	Brown University	13,490
		<i>Public Health Service - SAMHSA/CSAT</i>	<u>\$ 13,490</u>
U.S. Information Agency	IA-AEMA-G8190011	American Council of Learned Societies	(84,285)
	IA-AEMA-G9190003	American Council of Learned Societies	366,870
	IA-AEMA-G9190007	American Council of Learned Societies	3,430
		<i>U.S. Information Agency</i>	<u>\$ 286,015</u>
Veterans Health Administration	No Award ID	PricewaterhouseCoopers	188,172
		Veterans Health Administration	<u>\$ 188,172</u>
		<i>Grand Total</i>	34,591,688